



10-28-04

3729

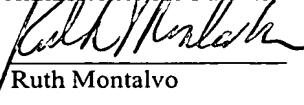
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EXPRESS MAIL MAILING CERTIFICATE

EXPRESS MAIL No.: EV 374588865US

Deposited: October 27, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313.

  
Ruth Montalvo

In the event that this paper is late filed and a necessary Petition for an Extension of Time is not concurrently filed herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this paper, to Deposit Account No. 50-1529.

GK-GEY-1065/500350.20064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. GK-GEY-1065 (500350-20064)  
Applicant(s): Claus Goder, et al.

Application No.: 09/530,167  
Filed: April 24, 2000  
For: OBJECT FIGURING DEVICE

Examiner: Farrah, A.  
Group: 3729

Commissioner of Patents  
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

SIR:

Applicants submit this Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, and hereby make of record the documents listed on the attached PTO-1449. A copy of the listed documents is also attached hereto.

The documents provided herein were cited in the German opposition of a corresponding patent (Patent No. 197 46 483).

This submission is not an admission that the information disclosed in the attached reference is material to the patentability of the invention disclosed and/or claimed in the above-identified application. Accordingly, Applicants respectfully submit that the application is in all respects in condition for examination on the merits.

Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of this application.

Applicants respectfully request that the Examiner consider the enclosed reference in determining the patentability of the claimed invention. Applicants also request that the Examiner return a copy of the enclosed Information Disclosure Citation with initials or other marks indicating that the reference has been so considered.

As the IDS is submitted prior to issuance of a first Office Action of the present RCE application, Applicant submits that no fee is due. However, the Commissioner is hereby authorized to charge any fees in connection herewith to deposit Account No. 50-1529.

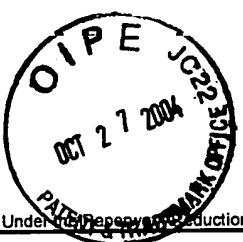
Respectfully submitted,



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HA/dw  
10/27/04

Enclosures:  
PTO-1449 (w/references)



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

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Sheet 1 of 2

<b>Complete if Known</b>	
Application Number	09/530,167
Filing Date	04/24/00
First Named Inventor	Claus Goder
Art Unit	3739
Examiner Name	Ahmed M Farah
Attorney Docket Number	GK-GEY-1065

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 151 869 A2	08-21-1985	L'Esperance, F.		✓
		EP 224 322 A1	06-03-1987	Marshall, J.		✓
		DE 44 41 425 A1	08-26-1995	Gehm, U.		✓
		DE 196 23 749 A1	05-07-1997	Semchishen, V.		✓

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

<b>Application Number</b>	09/530,167
<b>Filing Date</b>	04/24/2000
<b>First Named Inventor</b>	Claus Goder
<b>Art Unit</b>	3739
<b>Examiner Name</b>	Ahmed M. Farah
<b>Attorney Docket Number</b>	GK-GEY-1065

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MROCHEN, M. & SEILER T., International Ophtalmology Clinics, Advances in Refractive and Corneal Surgery, Vol. 36. No. 3, pg 73-75, Fall 1996	✓
		Prospect of the Firm AMS Mikrooptik GmbH	✓
		MROCHEN et al., Light Shaping Beam Homogenizing (LSBH) For Medical Applications, Abstract and Poster to LALS '96, Jenaer Congress in September 1996	✓
		BRONSTEIN et al., "Taschenbuch der Mathematik"; Nachdruck 20. Auflage, 1983	
		Datenblatt 1, of the Firm AMS Mikrooptik GmbH, March 4, 1997	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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